

Transfer request form

For Managed Funds investors

This form relates to the transfer of ownership in an investment in the Australian Ethical Managed Funds. It is to be read in conjunction with the Product Disclosure Statement for the relevant Australian Ethical Managed Fund current at the date of signing this form and available on our website.

Australian Ethical Investment Ltd (ABN 47 003 188 930) is the responsible entity and holder of an Australian Financial Services Licence (No. 229949).

- You will need to complete the details on this Transfer Form and the Managed Funds Application Form to effect a transfer.

New investors will need to complete the details on this Transfer Form and the Managed Funds Application Form (available at australianethical.com.au/managed-funds/pds) to effect a transfer.

Please use **BLOCK LETTERS** and **black ink**.

Send your completed form to:

investors@australianethical.com.au

or Australian Ethical Investment Ltd,
Reply Paid 3993, Sydney, NSW, 2001

Step 1: Transferor's details

Investor number

Investor 1 name

Investor 2 name

Investor 3 name

Step 2: Transfer request

I/we being unitholders in the Australian Ethical Managed Funds, hereby request that you transfer units from the Fund/s as specified in the table below to the transferee/s as detailed below.

Please fill out only one column for each Fund.

If you are not transferring all of your units, please ensure that at least the minimum balance worth of units remain invested in each fund in your name. For more information on minimum investment and minimum balance amounts, please visit australianethical.com.au/managed-funds/fees

Name of the fund	Units to the \$ value of		Number of units		All units
Altius Bond Fund	\$				☐
Australian Shares Fund	\$				☐
Balanced Fund	\$				☐
Conservative Fund	\$	OR		OR	☐
Diversified Shares Fund	\$				☐
Emerging Companies Fund	\$				☐
High Conviction Fund	\$				☐

Step 2: Transfer request (continued)

Name of the fund	Units to the \$ value of		Number of units		All units
High Growth Fund	\$				☐
Income Fund	\$				☐
International Shares Fund	\$	or		or	☐
Moderate Fund	\$				☐

Regular investment plan

☐ Place an 'X' in the box to cease your regular investor plan. If the box is not crossed, your regular investor plan will continue.

Step 3: Signature of transferor(s)

! Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Step 4: Transferee's details

Investor number

Investor 1 name

Investor 2 name

Investor 3 name

Step 5: Signature of transferee(s)

I/we being the named transferee(s) below, hereby agree to be bound by the provisions of the Constitution and the Product Disclosure Statement applicable to the investment which is available on australianethical.com.au/managed-funds/pds.

For joint investments all investors must sign.

If this transfer is signed under Power of Attorney, the Attorney states that they have no notice of revocation of the Power.

☐ A certified copy of the Power of Attorney is attached to this document (if not previously provided).

 Please note if you are using an electronic signature, this must be signed using OneSpan, DocuSign, or Adobe Acrobat Sign, and the forms will need to be accompanied by a time-stamped certificate.

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

Signature

Date (DD/MM/YYYY)

Signatory's full name (please print)

 If you have any questions, please contact Australian Ethical Managed Funds on 1800 021 227.